

Application No. 10/806,775
Information Disclosure Statement dated March 15, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION EXAMINING OPERATIONS

Applicant:

Hopkins

Group Art Unit: 3745

Serial No.:

10/806,775

Examiner:

Nguyen, Ninh H.

Filed:

March 22, 2004

Docket No:

Hunt:FanArr1

Title:

Fan Array Fan Section in Air-Handling Systems

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

Law Office of Karen Dana Oster, LLC PMB 1020 15450 SW Boones Ferry Rd. #9 Lake Oswego, OR 97035 March 15, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith copies of patents and other art of which he is aware and which he desires to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(c), this Information Disclosure Statement is being submitted before the mailing date of any of a final action under §1.1113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application. This Information Disclosure Statement is accompanied by the fee set forth in §1.17(p).

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any art referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b).

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Applicant was provided this information by Ms. Molly D. McKay, Attorney for AAON. AAON is a competitor of the assignee of the present invention. It is applicant's understanding that a Protest Under 37 CFR 1.291(a) was filed by Ms. McKay. In that Protest, Ms. McKay set forth a description of the art submitted herewith. Applicant makes no admission with respect to the accuracy of the description. Applicant makes no admission with respect to the dates of the art.

A list of the patents enclosed herewith is set forth on the attached single page of Form PTO/SB/08B.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in the file.

Please charge Deposit Account No. 50-2115 for any additional fees which may be required.

Respectfully submitted,

Karen Dana Oster Reg. No. 37,621

Of Attorneys of Record

Tel: (503) 810-2560

PTO/SB/08b (08-03)

Approved for use through 06/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Sheet

| Complete if Known | | | | |
|------------------------|-----------------|---|--|--|
| Application Number | 10/806,775 | | | |
| Filing Date | March 22, 2004 | _ | | |
| First Named Inventor | Hopkins | | | |
| Art Unit | 3745 | | | |
| Examiner Name | Nguyen, Ninh H. | | | |
| Attorney Docket Number | Hunt:FanArr1 | | | |

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---|--------------|---|---|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | |
| | : | AAON, RL Series Rooftop Conditioners, 09/01 | | | |
| *************************************** | •••••• | Jim Parro (Marketing Mananager for AAON) New Promotional Literature The RL Series | • •••••• | | |
| *********************** | • | AAON, RL Series 45 to 230 tons Packaged Rooftop Conditioners & Air Handlers | | | |
| | | AAON, Invocie No. 265184, 2/28/02 | | | |
| ••••••••••••••••••••••••••••••••••••••• | | AAON, Order Form and Associated Documents, 11/14/01 | | | |
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| Examiner | Date | |
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.